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**UNITED STATES DISTRICT COURT**  
**CENTRAL DISTRICT OF CALIFORNIA**

11 **BARBARA J. DEDEN,**

12 **Plaintiff,**

13 **v.**

14 **CAROLYN W. COLVIN,<sup>1</sup>**  
15 **Acting Commissioner of Social**  
16 **Security,**

17 **Defendant.**

) **NO. CV 12-7080-MAN**

) **MEMORANDUM OPINION**

) **AND ORDER**

18  
19 Plaintiff filed a Complaint on August 21, 2012, seeking review of the denial by the Social  
20 Security Commissioner ("Commissioner") of plaintiff's application for a period of disability ("POD"),  
21 disability insurance benefits ("DIB"), and supplemental security income ("SSI"). On November  
22 15, 2012, the parties consented, pursuant to 28 U.S.C. § 636(c), to proceed before the  
23 undersigned United States Magistrate Judge. The parties filed a Joint Stipulation on May 3, 2013,  
24 in which: plaintiff seeks an order reversing the Commissioner's decision and remanding for further  
25 administrative proceedings; and the Commissioner requests that her decision be affirmed or,  
26 alternatively, remanded for further administrative proceedings.

27  
28 <sup>1</sup> Carolyn W. Colvin became the Acting Commissioner of the Social Security  
Administration on February 14, 2013, and is substituted in place of former Commissioner Michael  
J. Astrue as the defendant in this action. (*See* Fed. R. Civ. P. 25(d).)

## SUMMARY OF ADMINISTRATIVE PROCEEDINGS

On June 10, 2009, plaintiff filed an application for SSI. (Administrative Record ("A.R.") 11, 136-38.) On July 29, 2009, plaintiff filed an application for a POD and DIB. (A.R. 11, 139-42.) In both applications, plaintiff alleged an inability to work since July 29, 2005, due to "[p]revious history of seizures caused by a brain tumor," "[h]ematoma [and] confusion when I had surgery," "[c]hronic depression," "[m]issing disc in lower back," and "[l]eaking pad in upper back." (A.R. 144.) At the reconsideration level, plaintiff additionally alleged "[d]epression is worsening, high blood pressure is not going down despite weight loss, edema is not going down and is present upon waking in a.m.," as well as an "enlarged heart" and "sleep apnea." (A.R. 158, 167.)

The Commissioner denied plaintiff's claim initially (A.R. 69-74) and upon reconsideration (A.R. 76-81). On February 9, 2011, plaintiff, who was represented by counsel, appeared and testified at a hearing before Administrative Law Judge Eric Benham (the "ALJ"). (A.R. 11, 24-59.) Vocational expert Aida Worthington also testified. (*Id.*) On March 18, 2011, the ALJ denied plaintiff's claim (A.R. 11-18), and the Appeals Council subsequently denied plaintiff's request for review of the ALJ's decision (A.R. 1-7). That decision is now at issue in this action.

## SUMMARY OF ADMINISTRATIVE DECISION

The ALJ found that plaintiff last met the insured status requirements of the Social Security Act on December 31, 2009, and that she has not engaged in substantial gainful activity since the alleged onset date of July 29, 2005. (A.R. 13.) The ALJ determined that plaintiff has the severe impairments of "obesity, congestive heart failure, back and knee pain," but she does not have an impairment or combination of impairments that meets or medically equals one of the listed impairments in 20 C.F.R. Part 404, Subpart P, Appendix 1 (20 C.F.R. §§ 404.1520(d), 404.1525, 404.1526, 416.920(d), 416.925, 416.926). (A.R. 13-14.)

1 After reviewing the record, the ALJ determined that plaintiff has the residual functional  
2 capacity ("RFC") to perform "light work as defined in 20 C.F.R. [§§] 404.1567(b) and 416.967(b)  
3 except occasional postural activities [and] occasional handling and fingering with right non-  
4 dominant hand." (A.R. 14.)

5  
6 The ALJ found that plaintiff was able to perform her past relevant work as a "claims clerk,  
7 credit clerk, general clerk, and telephone solicitor" as generally performed. (A.R. 18.)  
8 Accordingly, the ALJ concluded that plaintiff has not been under a disability, as defined in the  
9 Social Security Act, since July 29, 2005, the alleged onset date. (*Id.*)

## 10 11 STANDARD OF REVIEW 12

13 Under 42 U.S.C. § 405(g), this Court reviews the Commissioner's decision to determine  
14 whether it is free from legal error and supported by substantial evidence. Orn v. Astrue, 495 F.3d  
15 625, 630 (9th Cir. 2007). Substantial evidence is "such relevant evidence as a reasonable mind  
16 might accept as adequate to support a conclusion." *Id.* (citation omitted). The "evidence must  
17 be more than a mere scintilla but not necessarily a preponderance." Connett v. Barnhart, 340  
18 F.3d 871, 873 (9th Cir. 2003). "While inferences from the record can constitute substantial  
19 evidence, only those 'reasonably drawn from the record' will suffice." Widmark v. Barnhart, 454  
20 F.3d 1063, 1066 (9th Cir. 2006)(citation omitted).

21  
22 Although this Court cannot substitute its discretion for that of the Commissioner, the Court  
23 nonetheless must review the record as a whole, "weighing both the evidence that supports and  
24 the evidence that detracts from the [Commissioner's] conclusion." Desrosiers v. Sec'y of Health  
25 and Hum. Servs., 846 F.2d 573, 576 (9th Cir. 1988); *see also* Jones v. Heckler, 760 F.2d 993, 995  
26 (9th Cir. 1985). "The ALJ is responsible for determining credibility, resolving conflicts in medical  
27 testimony, and for resolving ambiguities." Andrews v. Shalala, 53 F.3d 1035, 1039 (9th Cir.

1995).

The Court will uphold the Commissioner's decision when the evidence is susceptible to more than one rational interpretation. Burch v. Barnhart, 400 F.3d 676, 679 (9th Cir. 2005). However, the Court may review only the reasons stated by the ALJ in his decision "and may not affirm the ALJ on a ground upon which he did not rely." Orn, 495 F.3d at 630; *see also* Connett, 340 F.3d at 874. The Court will not reverse the Commissioner's decision if it is based on harmless error, which exists only when it is "clear from the record that an ALJ's error was 'inconsequential to the ultimate nondisability determination.'" Robbins v. Soc. Sec. Admin., 466 F.3d 880, 885 (9th Cir. 2006)(quoting Stout v. Comm'r, 454 F.3d 1050, 1055 (9th Cir. 2006)); *see also* Burch, 400 F.3d at 679.

## DISCUSSION

Plaintiff alleges the following issues: (1) whether the ALJ properly found her depression to be nonsevere; (2) whether the ALJ properly considered plaintiff's subjective complaints; (3) whether the ALJ properly considered the combination of her impairments in his RFC determination; and (4) whether the ALJ properly considered if she can perform her past relevant work. (Joint Stipulation ("Joint Stip.") at 5-8, 10-16, 18-20, 23-26, 28-29.)

### **I. The ALJ Should Revisit His Step Two Determination Regarding Plaintiff's Depression On Remand.**

Plaintiff contends that the ALJ's determination that her depression was not a severe impairment is not supported by substantial evidence. (Joint Stip. at 5-8.)

At step two of the sequential evaluation process, the ALJ is tasked with identifying a

1 claimant's "severe" impairments. 20 C.F.R. §§ 404.1520(a)(4)(ii) and (c), 416.920(a)(4)(ii) and  
 2 (c). A severe impairment is one that "significantly limits [a claimant's] physical or mental ability  
 3 to do basic work activities."<sup>2</sup> 20 C.F.R. §§ 404.1520(c), 416.920(c). Despite use of the term  
 4 "severe," most circuits, including the Ninth Circuit, have held that "the step-two inquiry is a *de*  
 5 *minimis* screening device to dispose of groundless claims." Smolen v. Chater, 80 F.3d 1273, 1290  
 6 (9th Cir. 1996)(emphasis added). Accordingly, "[a]n impairment or combination of impairments  
 7 may be found 'not severe only if the evidence establishes a slight abnormality that has no more  
 8 than a minimal effect on [a claimant's] ability to work.'" Webb v. Barnhart, 433 F.3d 683, 686–87  
 9 (9th Cir.2005) (citation omitted; emphasis in original). When determining whether an impairment  
 10 is severe, a claimant's age, education, and work experience will not be considered. 20 C.F.R. §§  
 11 404.1520(c), 416.920(c).

12  
 13 At step two of the sequential evaluation process, the ALJ determined that plaintiff has the  
 14 "severe impairments" of obesity, congestive heart failure, and back and knee pain. (A.R. 13.)  
 15 The ALJ determined, however, that plaintiff's "mental impairment of depression does not cause  
 16 more than minimal limitation in [plaintiff]'s ability to perform basic mental work activities and is  
 17 therefore nonsevere." (*Id.*)

#### 18 19 A. Review of Plaintiff's Mental Records

20  
 21 A November 25, 2008 "Adult Initial Assessment" from the Long Beach Mental Health Center  
 22 ("LBMHC") indicated that plaintiff's eye contact was normal, her speech was unimpaired, her  
 23 interaction style was congruent and cooperative, she was oriented, her motor activity was calm,  
 24 but her mood was dysphoric, her affect was constricted, her associations were loose and  
 25 tangential, and her condition was "[n]ot [s]table." (A.R. 187.) It was noted, *inter alia*, that

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26  
 27 <sup>2</sup> Basic work activities are "the abilities and aptitudes necessary to do most jobs." 20  
 28 C.F.R. §§ 404.1521(a), 416.921(b).

1 plaintiff had a "depressed mood," "some sleep disturbances," a "raging appetite," and "memory  
2 problems." (A.R. 188.) Plaintiff was diagnosed with "major depression, recurrent, moderate" with  
3 a history of panic attacks, and she was assessed a Global Assessment of Functioning ("GAF")  
4 score of 60, indicating moderate symptoms.<sup>3</sup> (A.R. 188.) The psychiatrist recommended "groups,  
5 primarily." (*Id.*) Plaintiff went to group therapy sessions called either "Self Esteem Group -  
6 Assertive Training" or "Activity Clubhouse" in January, June, August, September, October, and  
7 November of 2009. (A.R. 547, 549, 551-53, 569-72, 574-75, 577, 579-81, 583, 586.)

8  
9 On January 12, 2009, a progress note from LBMHC indicated that plaintiff was well  
10 groomed, her speech was soft, her thought process was unimpaired, her concentration was intact,  
11 her mood was euthymic, but her affect was worried. (A.R. 550.) Plaintiff's "major issues" were  
12 listed as follows:

13  
14 sad, discouraged, low self-esteem, worthless, lonely, isolated, loss of pleasure,  
15 tired, difficulty sleeping or sleeping too much, [and] decreased or increased  
16 appetite. [Beck Depression Inventory ("BDI")] Score 51 - Borderline  
17 Moderate/Severe depression. [Beck Anxiety Inventory ("BAI")] Score 36 - Severe  
18 Anxiety - Anxious, worried, nervous, fearful, apprehensive - sense of impending  
19 doom, feels tense, stressed, difficulty concentrating, restless, . . . tense, tight  
20 muscles, tired, [and] weak.

21  
22 (*Id.*) Plaintiff was again assessed a GAF score of 60. (*Id.*)  
23

24  
25 <sup>3</sup> A GAF score is the clinician's judgment of the individual's overall level of functioning.  
26 It is rated with respect only to psychological, social, and occupational functioning, without regard  
27 to impairments in functioning due to physical or environmental limitations. DIAGNOSTIC AND  
28 STATISTICAL MANUAL OF MENTAL DISORDERS, at 32 (4th Ed. 2000). A GAF of 51-60 shows  
moderate symptoms, such as those which would affect speech, or moderate difficulty in social,  
occupational, or school functioning. *Id.*

1 On October 11, 2009, consultative psychiatrist Neda Javaherian, M.D. performed a  
2 complete psychiatric evaluation of plaintiff. (A.R. 14; 250-54.) Plaintiff "denie[d] a[ny] past  
3 psychiatric hospitalizations, did see a psychiatrist once in the past and was put on BuSpar but her  
4 insurance ran out." (A.R. 251.) According to Dr. Javaherian, on mental examination: plaintiff  
5 maintained good eye contact; she was able to establish rapport with the examiner; her  
6 psychomotor activity was within normal limits; her speech was fluent with normal prosody, rate,  
7 and rhythm; her affect was mildly restricted; and her thought processes were concrete, linear,  
8 and goal directed with no loosening of associations, flight of ideas, racing thoughts, thought  
9 blocking, thought insertions, thought withdrawal, or thought broadcasting. (A.R. 252.) Plaintiff's  
10 concentration, abstract thinking, fund of knowledge, insight, and judgment were within normal  
11 limits. (*Id.*) Dr. Javaherian did note, however, that plaintiff "appears to have increased sleep,  
12 feelings of worthlessness and guilt, decreased energy and anhedonia." (A.R. 253.) Dr.  
13 Javaherian also noted that plaintiff "appeared mildly depressed throughout the interview." (*Id.*)  
14 Accordingly, Dr. Javaherian diagnosed plaintiff with "Major Depressive Disorder, Single Episode,  
15 Moderate" and found that plaintiff's prognosis was "fair." (A.R. 254.) Dr. Javaherian assessed  
16 plaintiff with a GAF score of 60 and opined that plaintiff would have a mild impairment in her  
17 ability to cope with work place stress. (*Id.*)

18  
19 State Agency reviewing physician Dr. L.O. Mallare reviewed plaintiff's medical records and  
20 completed a "Psychiatric Review Technique" form on November 24, 2009. (A.R. 266-78.) Dr.  
21 Mallare noted that plaintiff suffered from affective disorder but opined that impairment was not  
22 severe. (A.R. 266.) In addition, Dr. Mallare concluded that: plaintiff would experience no  
23 functional limitations in activities of daily living or in maintaining social functioning; would not  
24 suffer repeated episodes of decompensation of an extended duration; but would be mildly limited  
25 in maintaining concentration, persistence, and pace. (A.R. 274.)

26  
27 After the ALJ rendered his decision, plaintiff submitted a two-page letter from treating  
28

1 physician John Kassabian, M.D.<sup>4</sup> and psychologist Peter Jay, PhD. (A.R. 589-90.)<sup>5</sup> The letter  
 2 indicates they had treated plaintiff since July 17, 2008, for a "history of mental stress,  
 3 hypertension, depression, anxiety, fatigue, and arthritis." (A.R. 589.) The letter further indicates  
 4 that plaintiff's anxiety and depression are "emotional factors that contribute to the severity of her  
 5 symptoms and functional limitations" and concludes that plaintiff is "incapable of even low stress  
 6 with regard to work stress." (*Id.*) The letter states that the "symptoms and limitations described  
 7 in the Multiple Impairment/Questionnaire that was completed on 8/29/2008[] [r]emain in effect"  
 8 and that plaintiff "remains disabled from any full-time work."<sup>6</sup> (*Id.*)

9  
 10 B. The ALJ's Decision Regarding Plaintiff's Depression

11  
 12 The ALJ determined plaintiff's depression was not severe, because: (1) plaintiff's  
 13 depression was not considered sufficiently serious to require treatment with psychotropic  
 14 medications; and (2) the medical evidence does not demonstrate that plaintiff's depression caused  
 15 severe mental limitations. (A.R. 14.) The ALJ's reasons are unpersuasive.

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16  
 17 <sup>4</sup> Although spelled as "Kassabiean" in the undated letter, his treatment notes reflect  
 18 his name spelled as "Kassabian." (*See e.g.*, A.R. 474, 476, 478.) Thus, the Court will refer to him  
 19 as "Dr. Kassabian."

20 <sup>5</sup> The Appeals Council considered the letter, which it made part of the Administrative  
 21 Record, but determined that the evidence "d[id] not provide a basis for changing the [ALJ]'s  
 22 decision" (A.R. 1-2.) Notwithstanding the Appeals Council's determination, this Court must  
 23 consider such evidence in determining whether the ALJ's decision is supported by substantial  
 24 evidence and free from legal error. *See Brewes v. Comm'r of Soc. Sec. Admin.*, 682 F.3d 1157,  
 25 1163 (9th Cir. 2012)(holding that "when the Appeals Council considers new evidence in deciding  
 26 whether to review a decision of the ALJ, that evidence becomes part of the administrative record,  
 27 which the district court must consider when reviewing the Commissioner's final decision for  
 28 substantial evidence").

24 <sup>6</sup> The letter further noted that laboratory findings and testing results have shown  
 25 "hernia, abnormal ankle brachial, disc disease (in low back), major depression, hypertension,  
 26 gerd, sleep apnea, and hyper ventilating." (A.R. 589.) Dr. Kassabian and Dr. Jay opined that  
 27 plaintiff "cannot sit nor stand or work long enough in an 8-hour workday to sustain any full time  
 28 work." (*Id.*) Plaintiff should take "breaks in between walking and standing times, sit for 15  
 minutes as needed for flexing of the joints," and is "markedly limited in using her hand and  
 fingers for fine manipulations and using arms for reaching (including overhead)." (*Id.*) Plaintiff  
 is also precluded from pushing, pulling, kneeling, bending, or stooping. (*Id.*)



1 The ALJ's first reason for finding plaintiff's depression to be "nonsevere" conflicts with the  
2 evidence of record. Although plaintiff testified at the administrative hearing that she did not use  
3 psychotropic medication "right now," she expressly acknowledged her use of such medication in  
4 the past. (A.R. 33.) Indeed, the medical record supports plaintiff's assertion that she had been  
5 prescribed various medications -- *i.e.*, BuSpar, Ativan, and Valium -- to treat her depression and  
6 anxiety. (*See, e.g.*, 251 (BuSpar), 566 (Ativan, Buspar, and prior use of Valium).) However, and  
7 significantly, plaintiff stopped taking her medication, because her insurance ran out. (A.R. 251 -  
8 noting that plaintiff was "put on" BuSpar but her insurance ran out.) As such, the ALJ's reasoning  
9 on this point is ill-founded.

10  
11 Next, to the extent the ALJ found plaintiff's depression to be "nonsevere" because plaintiff  
12 was not assessed with "severe limitations," the ALJ's reasoning is unconvincing. As an initial  
13 matter, plaintiff has the burden of showing only that her impairment more than minimally limits  
14 her ability to perform basic work activities -- a *de minimis* standard. As noted *supra*, Dr.  
15 Javaherian diagnosed plaintiff with "major depressive disorder, single episode, moderate,"  
16 assessed plaintiff with a GAF score of 60, opined that plaintiff was mildly impaired in her ability  
17 to cope with work place stress, and found plaintiff's prognosis to be "fair." However, in  
18 conducting her examination of plaintiff, Dr. Javaherian explicitly noted that "[t]here [we]re no  
19 mental health records in [plaintiff's] chart" for her to review." (A.R. 250.) Dr. Javaherian,  
20 therefore, based her assessment on an incomplete picture of plaintiff's condition. The regulations  
21 require that a consultative examiner be given all necessary background information about the  
22 plaintiff's condition. 20 C.F.R. §§ 404.1517, 416.917. Background information is essential,  
23 because consultative exams are utilized "to try to resolve a conflict or ambiguity if one exists."  
24 20 C.F.R. §§ 404.1519a(b), 416.919a(b). Consequently, because Dr. Javaherian assessed  
25 plaintiff's impairment(s) and limitation(s) without a complete review of plaintiff's medical records,  
26 the Court cannot conclude that Dr. Javaherian's opinion constitutes substantial evidence that  
27 supports the ALJ's reasoning on this point.  
28

1 Further, while Dr. Mallare reviewed the then-available medical record of evidence, it  
2 appears that he relied, perhaps significantly, on the opinion of Dr. Javaherian and the fact that  
3 plaintiff was not taking psychotropic medication in finding plaintiff's impairment to be nonsevere.  
4 (A.R. 276 - "Consultants Notes" cite no current prescription and mention Dr. Javaherian's finding  
5 that plaintiff was "mildly depressed" with grossly intact cognition.) As noted *supra*, Dr.  
6 Javaherian's opinion was not based upon a proper review of the medical evidence, and it appears  
7 that plaintiff was prescribed psychotropic medications, but could not afford them due to a lack  
8 of insurance. Thus, the ALJ's decision to afford significant weight to Dr. Mallare's opinion also  
9 may constitute error.

10  
11 Moreover, there is significant medical evidence of record indicating that plaintiff's  
12 depression meets the more than *de minimis* severity standard at step two of the sequential  
13 evaluation process. As noted *supra*, in the LBMHC Adult Initial Assessment, plaintiff was  
14 diagnosed with moderate major depressive disorder with a history of panic attacks. (A.R. 188.)  
15 In his decision, the ALJ gave short shrift to the LBMHC Adult Initial Assessment. The ALJ stated  
16 that the assessment "shows a depressed mood with panic attacks" and a "generally normal"  
17 mental evaluation, "except that [plaintiff] exhibited a dysphoric mood, constricted affect, and  
18 loose tangential associations." (A.R. 14.) Notably, however, the ALJ never mentioned the fact  
19 that plaintiff's condition was found to be "not stable" or that she was found to have "memory  
20 problems," "sleeping disturbances," and a GAF score of 60. (A.R. 187-88.) Further, the ALJ never  
21 gave *any* reason, let alone an appropriate reason, for rejecting these findings.

22  
23 In addition, as noted *supra*, a January 12, 2009 progress note from LBMHC indicates that  
24 plaintiff has moderate to severe mental impairments. (A.R. 550.) For example, plaintiff was  
25 reported as having: a BDI score of 51, indicating moderate/severe depression; a BAI score of 36,  
26 indicating severe anxiety; and a GAF score of 60, indicating moderate symptoms. (*Id.*) None of  
27  
28

1 these test results were discussed by the ALJ.<sup>7</sup>

2  
3 Finally, the letter submitted to the Appeals Counsel from Dr. Kassabian and Dr. Jay, who  
4 have treated plaintiff since July 17, 2008, for, *inter alia*, depression and anxiety, notes that:  
5 plaintiff's anxiety and depression are "emotional factors that contribute to the severity of her  
6 symptoms and functional limitations"; plaintiff is "incapable of even low stress with regard to work  
7 stress"; and plaintiff "remains disabled from any full-time work."<sup>8</sup> (A.R. 589-90.)

8  
9 Accordingly, based on the aforementioned evidence, the ALJ must revisit his severity  
10 determination with respect to plaintiff's depression.<sup>9</sup>

11  
12 **II. The ALJ Failed To Provide The Requisite Clear And Convincing**  
13 **Reasons For Rejecting Plaintiff's Subjective Pain Testimony.**

14  
15 Once a disability claimant produces objective medical evidence of an underlying impairment  
16 that is reasonably likely to be the source of claimant's subjective symptom(s), all subjective  
17 testimony as to the severity of the claimant's symptoms must be considered. Moisa v. Barnhart,

18  
19 <sup>7</sup> Although the ALJ did not acknowledge the above-noted GAF score of 60, the ALJ  
20 did acknowledge that Dr. Javaherian assessed plaintiff with a GAF score of 60 on October 11,  
21 2009. (A.R. 14.) The ALJ noted that a GAF score of 60 indicates "'moderate symptoms (e.g. flat  
22 affect and circumstantial speech, occasional panic attacks or moderate difficulty in social,  
23 occupation, or school functioning (e. [g]. few friends, conflicts with peers or co-workers)[.]'." (*Id.*)  
24 The ALJ appeared to give the score little weight, noting that "60 is very close to mild symptoms"  
and "such [a] score is only a snapshot in time, which does not portray [plaintiff]'s condition for  
a continuous 12-month period." (A.R. 14.) While the ALJ was quick to dismiss Dr. Javaherian's  
GAF score as a one-time snapshot of plaintiff's condition, plaintiff has repeatedly been assessed  
with a GAF score of 60 for a period of at least 12 months. (*See, e.g.*, A.R. 188 (11/25/08 - GAF  
Score of 60); A.R. 550 (11/12/09 - same); A.R. 254 (10/11/09 - same).)

25 <sup>8</sup> The letter also states that "symptoms and limitations described in the Multiple  
26 Impairment/Questionnaire that was completed on 08/29/2008. . . . [r]emain in effect." (A.R.  
590.) The cited 2008 Questionnaire does not appear to be in the record, and therefore, further  
development of the record may be appropriate.

27 <sup>9</sup> In addition, the ALJ should specifically consider whether plaintiff's edema constitutes  
28 a severe impairment.

1 367 F.3d 882, 885 (9th Cir. 2004); Bunnell v. Sullivan, 947 F.2d 341, 345 (9th Cir. 1991); *see also*  
2 20 C.F.R. §§ 404.1629(a), 416.929(a) (explaining how pain and other symptoms are evaluated).  
3 “[U]nless an ALJ makes a finding of malingering based on affirmative evidence thereof, he or she  
4 may only find an applicant not credible by making specific findings as to credibility and stating  
5 clear and convincing reasons for each.” Robbins, 466 F.3d at 883. The factors to be considered  
6 in weighing a claimant’s credibility include: (1) the claimant’s reputation for truthfulness; (2)  
7 inconsistencies either in the claimant’s testimony or between the claimant’s testimony and her  
8 conduct; (3) the claimant’s daily activities; (4) the claimant’s work record; and (5) testimony from  
9 physicians and third parties concerning the nature, severity, and effect of the symptoms of which  
10 the claimant complains. *See Thomas*, 278 F.3d at 958-59; *see also* 20 C.F.R. §§ 404.1529(c),  
11 416.929(c).

12  
13 Here, the ALJ concluded that “[a]fter careful consideration of the evidence, . . . [plaintiff]’s  
14 medically determinable impairments could reasonably be expected to cause the alleged  
15 symptoms.” (A.R. 15.) Significantly, the ALJ cited no evidence of malingering by plaintiff.  
16 Nonetheless, the ALJ determined that plaintiff’s “statements concerning the intensity, persistence  
17 and limiting effects of [her] symptoms are not credible to the extent they are inconsistent with  
18 the above [RFC] assessment.” (A.R. 15-16.) Accordingly, the ALJ’s reasons for finding that  
19 plaintiff was not credible with respect to his subjective symptom and pain testimony must be  
20 “clear and convincing.”

21  
22 The ALJ rejected plaintiff’s testimony regarding the severity of her pain symptoms,  
23 because: (1) plaintiff’s subjective complaints and alleged limitations are out of proportion to the  
24 objective medical evidence; (2) plaintiff’s treatment has been essentially routine and/or  
25 conservative in nature; and (3) there is no evidence of muscle atrophy that would be compatible  
26 with plaintiff’s inactivity and inability to function. (A.R. 15.)

1 During the hearing, plaintiff testified that she is unable to work because of her severe  
2 depression. (A.R. 31.) She also is unable to sit for long periods of time (*id.*) and must elevate  
3 her legs for several hours a day due to the edema in her legs (A.R. 34-35). Plaintiff testified that,  
4 due to surgery to remove a brain tumor, she has mentally slowed down. (A.R. 31-32.) She also  
5 has a missing disc in her lower back and a slipped disc in her upper back, which make it hard for  
6 her to sit or stand for long periods of time. (A.R. 32.) Plaintiff testified further that she has pain  
7 and osteoarthritis in her knees (A.R. 32) and carpal tunnel syndrome (A.R. 33).

8  
9 With respect to the ALJ's first ground, even assuming *arguendo* that the objective medical  
10 evidence did not corroborate the degree of plaintiff's allegedly disabling symptoms, this factor  
11 cannot form the "sole basis" for discounting plaintiff's subjective symptom testimony. Burch, 400  
12 F.3d at 681; see Bunnell, 947 F.2d at 34 (noting that "[i]f an adjudicator could reject a claim of  
13 disability simply because a claimant fails to produce medical evidence supporting the severity of  
14 the pain, there would be no reason for an adjudicator to consider anything other than medical  
15 findings"). Accordingly, because the ALJ's first ground cannot, by itself, constitute a clear and  
16 convincing reason for discrediting plaintiff's testimony, the ALJ's credibility determination rises or  
17 falls with the ALJ's other grounds for discrediting plaintiff.

18  
19 The ALJ's second ground for discounting plaintiff's credibility -- *to wit*, that plaintiff's  
20 testimony is inconsistent with her routine and conservative treatment -- is not clear and  
21 convincing. (A.R. 15-16.) In his decision, the ALJ specifically noted that plaintiff "admitted that  
22 she does not take medication for her depression." (A.R. 15.) While it is true that conservative  
23 or infrequent treatment can be used by an ALJ to refute allegations of disabling pain, it "is not  
24 a proper basis for rejecting the claimant's credibility where the claimant has a good reason for not  
25 seeking more aggressive treatment." Carmickle v. Comm'r of SSA, 533 F.3d 1155, 1162 (9th Cir.  
26 2008). As discussed above, it appears that plaintiff was prescribed medication to treat her  
27 depression, but her insurance ran out. Given the fact that plaintiff may have lacked insurance to  
28

1 pursue more aggressive treatment for her depression, and perhaps for her other alleged  
2 impairments, the ALJ's reasoning does not constitute a clear and convincing reason for  
3 discrediting plaintiff.

4  
5 The ALJ's final reason for discounting plaintiff's credibility -- *to wit*, that there is "no  
6 evidence of severe disuse muscle atrophy that would be compatible with her alleged inactivity and  
7 inability to function" -- is also not clear and convincing. (AR 15.) The ALJ cites no medical  
8 opinion or medical literature to the effect that someone with plaintiff's alleged degree of  
9 impairment necessarily would have severe disuse muscle atrophy. Further, plaintiff's testimony  
10 was that she is unable to stand or sit for long periods of time, not that she is completely  
11 incapacitated. (A.R. 45-46.) Thus, without more, a lack of muscle atrophy does not rise to the  
12 level of a clear and convincing reason for discounting plaintiff's credibility.

13  
14 Accordingly, for the aforementioned reasons, the ALJ failed to provide clear and convincing  
15 reasons, as required, for finding plaintiff to be not credible.

16  
17 **III. On Remand, The ALJ Must Review And Reconsider Plaintiff's Remaining**  
18 **Claims.**

19  
20 Based on the foregoing, there are several matters that the ALJ needs to review and  
21 reconsider on remand. As a result, the ALJ's conclusion regarding plaintiff's RFC and her ability  
22 to do her past relevant work may change. Therefore, the Court does not reach plaintiff's  
23 remaining claims. To properly review and consider these claims, the ALJ must correct the above-  
24 mentioned errors. Further, to the extent that plaintiff's RFC is reassessed, additional testimony  
25 from a vocational expert likely will be required to determine what work, if any, plaintiff can  
26 perform.

#### 1           **IV.    Remand Is Required.**

2

3           The decision whether to remand for further proceedings or order an immediate award of

4 benefits is within the district court's discretion. Harman v. Apfel, 211 F.3d 1172, 1175-78 (9th Cir.

5 2000). Where no useful purpose would be served by further administrative proceedings, or where

6 the record has been fully developed, it is appropriate to exercise this discretion to direct an

7 immediate award of benefits. *Id.* at 1179 ("[T]he decision of whether to remand for further

8 proceedings turns upon the likely utility of such proceedings."). However, where there are

9 outstanding issues that must be resolved before a determination of disability can be made, and

10 it is not clear from the record that the ALJ would be required to find the claimant disabled if all

11 the evidence were properly evaluated, remand is appropriate. *Id.* at 1179-81.

12

13           Remand is the appropriate remedy to allow the ALJ the opportunity to remedy the above-

14 mentioned deficiencies and errors. Careful consideration must be given to properly address and

15 correct the above-mentioned deficiencies and errors, particularly in view of plaintiff's nearly

16 advanced age at the time the ALJ issued his decision<sup>10</sup> and her litany of severe and non-severe

17 impairments (including, *inter alia*, obesity (A.R. 377, 473), congestive heart failure (A.R. 13), back

18 and knee pain (A.R. 247, 249, 256, 262-63, 258, 499), depression/anxiety (A.R. 188, 253-54, 276-

19 78, 377, 550, 584-85), edema (A.R. 198, 307), post right temporal lobotomy (A.R. 235, 564),

20 right temporal encephalomalacia (A.R. 330), diastolic dysfunction of the heart (A.R. 305, 352),

21 cardiomegaly (enlarged heart) (A.R. 499), heart palpitations (A.R. 256, 305), chest pain (*id.*),

22 hypertension (*id.*), recurrent shortness of breath (A.R. 256), post acute transient ischemic attack

23 (A.R. 330), sleep apnea and somnolence (A.R. 256, 377), carpal tunnel syndrome (223-24), GERD

24 (A.R. 198, 377), bursitis (A.R. 237), kidney problems (A.R. 247), dysuria (A.R. 236, 240), and a

25 hernia (A.R. 247)). The Court notes, for example, that although the ALJ mentioned plaintiff's

26 edema, he never specifically determined whether it constitutes a severe or nonsevere impairment,

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27           <sup>10</sup> Plaintiff was 10 days shy of "advanced age" at the time the ALJ issued his decision.

28

1 and he did not sufficiently address, or give appropriate reasons for rejecting, her alleged postural  
2 limitations, including her asserted need to elevate her legs for several hours a day. Accordingly,  
3 on remand, the ALJ must: revisit his severity determinations; give appropriate reasons, if they  
4 exist, for rejecting plaintiff's subjective symptom testimony; and properly consider plaintiff's  
5 severe and nonsevere impairments in assessing her RFC and determining what work, if any, she  
6 can perform. 20 C.F.R. §§ 404.1545(a)(2); 416.945(a)(2) (ALJ must consider both severe and  
7 nonsevere impairments in making the RFC assessment).

8  
9 **CONCLUSION**

10  
11 Accordingly, for the reasons stated above, IT IS ORDERED that the decision of the  
12 Commissioner is REVERSED, and this case is REMANDED for further proceedings consistent with  
13 this Memorandum Opinion and Order.

14  
15 IT IS FURTHER ORDERED that the Clerk of the Court shall serve copies of this  
16 Memorandum Opinion and Order and the Judgment on counsel for plaintiff and for defendant.

17  
18 **LET JUDGMENT BE ENTERED ACCORDINGLY.**

19  
20 DATED: November 26, 2013

21   
22 MARGARET A. NAGLE  
23 UNITED STATES MAGISTRATE JUDGE  
24  
25  
26  
27  
28